



أساسيات ISO 37301 لأنظمة إدارة الامتثال



AGILE LEADERS
Training Center

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عن بعد

أساسيات ISO 37301 لأنظمة إدارة الامتثال

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Course Overview:

The ISO 37301 Foundation Training Course for Compliance Management Systems provides participants with a structured and practical introduction to the principles, terminology, requirements, and organizational applications of ISO 37301:2021. Designed as an expanded five-day ISO 37301 Foundation Course, the programme develops a clear understanding of compliance, compliance obligations, compliance risks, organizational integrity, governance responsibilities, and the structure of an effective Compliance Management System.

The course is aligned with the two principal competency domains of the PECB ISO 37301 Foundation programme: fundamental concepts and principles of compliance and the CMS, and the requirements of ISO 37301 Clauses 4 to 10. The PECB examination framework places particular emphasis on the interpretation of Clauses 4-10, which represent the majority of the Foundation examination scope.

Through ISO 37301 Foundation Training, participants examine organizational context, interested parties, compliance obligations, compliance risk assessment, leadership, compliance culture, planning, support, operations, performance evaluation, and continual improvement. ISO 37301 itself is designed for organizations of any size, sector, structure, or jurisdiction and supports the establishment, implementation, evaluation, maintenance, and improvement of an effective compliance management system.

This Compliance Management System Training combines ISO requirements with practical examples from regulatory risk management and corporate compliance practice, giving participants a strong foundation for future progression into advanced implementation or auditing roles.

Target Audience:

- Compliance Officers and Compliance Team Members
- Governance, Risk, and Compliance Professionals
- Risk Management Officers and Analysts
- Internal Audit and Internal Control Professionals
- Legal and Regulatory Affairs Professionals
- Ethics and Integrity Officers
- Quality and Management System Professionals
- Managers and Consultants seeking foundational knowledge of ISO 37301
- Professionals considering a career in compliance management
- Employees responsible for supporting organizational integrity and ethical conduct

Targeted Organizational Departments:

- Compliance and Ethics
- Governance, Risk, and Compliance
- Legal and Regulatory Affairs
- Internal Audit and Assurance
- Enterprise Risk Management
- Corporate Governance
- Internal Control
- Quality and Management Systems
- Human Resources and Organizational Development
- Procurement and Third-Party Management

Targeted Industries:

- Banking, Financial Services, and Insurance
- Government and Public Sector Organizations
- Oil, Gas, Energy, and Utilities
- Healthcare and Pharmaceuticals
- Telecommunications and Technology
- Manufacturing and Industrial Organizations
- Construction and Infrastructure
- Transportation and Logistics
- Professional and Business Services

Course Offerings:

By the end of this course, participants will be able to:

- Explain the fundamental concepts, principles, terminology, and business value of compliance management.
- Describe the purpose, scope, and structure of an ISO 37301 Compliance Management System.
- Explain the relationship between compliance, governance, risk management, ethics, and organizational integrity.
- Identify compliance obligations arising from legal, regulatory, contractual, voluntary, and internal requirements.
- Understand the fundamentals of compliance risk identification, assessment, and prioritization.
- Interpret the requirements of ISO 37301 Clauses 4 to 10.
- Explain leadership, governing body, management, compliance function, and personnel responsibilities.
- Recognize the role of compliance culture, ethics, awareness, communication, and competence.
- Understand operational controls, raising concerns, investigation processes, and response to noncompliance.
- Explain monitoring, measurement, internal audit, management review, and continual improvement.
- Apply foundational Compliance Risk Management Training concepts to practical organizational scenarios.
- Prepare more effectively for further ISO 37301 Certification Training and advanced compliance management studies.

Training Methodology:

The ISO 37301 Foundation Training uses an interactive corporate learning approach that combines structured instruction with practical interpretation of compliance management requirements. Trainer-led sessions introduce the concepts and terminology of ISO 37301 before participants examine how those requirements operate within realistic organizational environments.

Case studies are used to explore compliance obligations, regulatory exposure, leadership responsibilities, compliance culture, reporting mechanisms, operational controls, and compliance failures. Group exercises allow participants to analyze interested parties, identify regulatory obligations, discuss compliance risks, and evaluate examples of policies and controls. The regulatory risk cycle—identifying activities, identifying regulators and requirements, assessing non-compliance risk, and evidencing compliance—is used as a practical framework for understanding compliance risk management.

Interactive discussions and facilitated comparisons help participants distinguish between compliance risk, noncompliance, nonconformity, corrective action, internal audit, and continual improvement. Short scenario-based quizzes reinforce knowledge across the two Foundation competency domains. The final day includes structured review activities aligned with the Foundation examination emphasis on fundamental concepts and ISO 37301 Clauses 4–10.

The methodology also introduces practical examples of effective compliance programmes, including leadership commitment, risk assessment, reporting frameworks, internal controls, periodic communication, training, monitoring, investigations, and remediation.

Course Toolbox:

The tools Participants will receive insights, examples, and guided exposure to tools relevant to the course. .themselves are not necessarily provided as proprietary software or licensed products

- ISO 37301 clause interpretation reference guide
- Compliance terminology and concept reference
- Compliance obligations identification example
- Interested-party analysis example
- Compliance risk assessment example
- Regulatory requirements mapping example
- Compliance policy structure example
- Roles and responsibilities matrix example
- Compliance objectives planning example

Course Agenda:

Day 1: Fundamentals of Compliance and ISO 37301

- Introduction to compliance, compliance obligations, and organizational integrity Topic 1: •
- Purpose, scope, applicability, and benefits of ISO 37301 Topic 2: •
- Structure and principles of an ISO 37301 Compliance Management System Topic 3: •
- Relationship between compliance, governance, risk, ethics, and internal control Topic 4: •
- Compliance pillars, modern compliance programmes, and common barriers to compliance Topic 5: •
- Relationship between ISO 37301 and other management system standards Topic 6: •
- Consolidating the fundamental concepts, terminology, principles, and organizational **Reflection & Review:** •
value of effective compliance management

Day 2: Organizational Context, Leadership, and Compliance Culture

- Understanding the organization and its internal and external context Topic 1: •
- Identifying interested parties and relevant compliance expectations Topic 2: •
- Defining the scope and boundaries of the Compliance Management System Topic 3: •
- Leadership and commitment of the governing body and top management Topic 4: •
- Compliance policy, governance, roles, responsibilities, and authorities Topic 5: •
- Building a positive compliance culture and reinforcing ethical behaviour Topic 6: •
- Reviewing how organizational context, leadership, accountability, and culture establish **Reflection & Review:** •
the foundation of an effective CMS

Day 3: Compliance Obligations, Risk Assessment, Planning, and Support

- Identifying and maintaining legal, regulatory, contractual, and voluntary compliance obligations Topic 1: •
- Fundamentals of compliance risk identification and assessment Topic 2: •
- Assessing likelihood, consequences, and priorities of non-compliance Topic 3: •
- Addressing risks and opportunities and establishing compliance objectives Topic 4: •
- Resources, competence, awareness, training, and communication Topic 5: •
- Documented information and evidence of compliance Topic 6: •
- Connecting compliance obligations and compliance risks with planning, competence, **Reflection & Review:** •
communication, and documented evidence

Day 4: Operational Compliance Controls, Raising Concerns, and Investigations

- Operational planning and control within the Compliance Management System Topic 1: •
- Establishing proportionate compliance controls and procedures Topic 2: •
- Integrating compliance requirements into business and operational processes Topic 3: •
- Raising concerns, confidential reporting, and protection from retaliation Topic 4: •
- Fundamentals of investigation processes and handling suspected misconduct Topic 5: •
- Responding to noncompliance, remediation, and corrective action Topic 6: •
- Reviewing how operational controls, reporting mechanisms, investigations, and **Reflection & Review:** •
remediation support effective compliance

Day 5: Performance Evaluation, Continual Improvement, and Foundation Review

- Monitoring, measurement, analysis, and evaluation of compliance performance Topic 1: •
- Compliance indicators, reporting, feedback sources, and record-keeping Topic 2: •
- Fundamentals of internal audit within an ISO 37301 CMS Topic 3: •
- Management review and evaluation of CMS suitability and effectiveness Topic 4: •
- Nonconformity, corrective action, and continual improvement Topic 5: •
- Foundation examination domains, revision, and scenario-based practice questions Topic 6: •
- Integrating ISO 37301 Clauses 4-10 into a complete compliance management system Reflection & Review: •
- and reviewing the two Foundation competency domains

FAQ:

What specific qualifications or prerequisites are needed for participants before enrolling in the course?

ISO 37301 Foundation No formal qualifications or prior ISO certification are required. The course is designed as an programme for participants who are new to compliance management or who require a structured understanding of ISO 37301 requirements. Basic familiarity with corporate governance, risk management, legal requirements, internal control, or organizational processes may be helpful but is not mandatory.

How long is each day's session, and is there a total number of hours required for the entire course?

Each day's session is generally structured to last around 4-5 hours, with breaks and interactive activities included. The total course duration spans five days, approximately 20-25 hours of instruction.

Is ISO 37301 only for compliance departments, and does implementing it guarantee that an organization will never experience noncompliance?

No. ISO 37301 is designed for organizations of different sizes and sectors and involves responsibilities across governance, leadership, management, compliance functions, and personnel. Implementation does not guarantee that violations will never occur. Instead, an effective CMS helps an organization prevent, detect, respond to, and continually improve its management of compliance risks.

How This Course is Different from Other ISO 37301 Foundation Training Courses:

The ISO 37301 Foundation Training Course for Compliance Management Systems goes beyond a brief introduction to the terminology of ISO 37301. It is designed as an expanded five-day Compliance Management System Foundation Course that connects the standard's requirements with realistic regulatory, governance, ethical, and organizational challenges.

A key differentiator is the balance between Foundation-level knowledge and practical corporate relevance. Participants study the two recognized Foundation competency domains while also exploring how compliance obligations are identified, how compliance risks are assessed, how leadership shapes culture, how concerns are raised, and how performance is evaluated. The course therefore maintains a Foundation level without drifting into the detailed implementation project management of a Lead Implementer programme or the advanced audit techniques of a Lead Auditor course.

The programme also integrates practical regulatory risk concepts, including identification of regulators and requirements, assessment of non-compliance risk, and evidence of compliance. It further draws on contemporary compliance programme practices involving leadership commitment, risk-based controls, reporting mechanisms, investigations, training, internal controls, monitoring, and remediation.

فئات الدورات التدريبية

الدورات التدريبية في مجال البيئة والاستدامة	دورات إدارة الجودة وتطوير العمليات
دورات إدارة و تحليل البيانات ودورات علم البيانات	دورات إدارة و تطوير الموارد البشرية
دورات الذهن السيرياني ودورات تقنية المعلومات	دورات الاتصال الجماهيري و السياسات والعلاقات العامة
دورات التدريب القانوني والهشتريات والتعاقدات	دورات التسويق وإدارة علاقات العملاء وإدارة المبيعات
دورات الحوكمة وإدارة المخاطر والامتثال	دورات السكرتارية و إدارة المكاتب
دورات الصحة والسلامة والذهن المهني	دورات الصيانة ودورات المجالات الهندسية المتنوعة
دورات المحاسبة و التهويل و دورات الإدارة المالية	دورات المهارات الشخصية وتطوير الذات
دورات في مجالات القيادة والإدارة	دورات معتمدة من قبل هيئات دولية
دورات مكتب إدارة المشاريع وإدارة المشاريع الرشيقية	



مدن التدريب

أهستردام هولندا	أكرا غانا	أثينا اليونان
الدار البيضاء المغرب	الجبيل المملكة العربية السعودية	استنبول تركيا
القاهرة مصر	الرياض المملكة العربية السعودية	الدوحة قطر
باريس فرنسا	الهناوة مملكة البحرين	الكويت الكويت
براغ جمهورية التشيك	بانكوك تايلند	باكو أذربيجان
بورتو البرتغال	برلين ألمانيا	برشلونة إسبانيا
تورنتو كندا	تيليسي جورجيا	بوكيت تايلاند
جوهانسبرغ جنوب افريقيا	جنيف سويسرا	جاكرتا جمهورية اندونيسيا
زنجار تنزانيا	روما إيطاليا	حلي الإمارات العربية المتحدة
سيول كوريا الجنوبية	سنغافورة سنغافورة	سان دييغو الولايات المتحدة الأمريكية
طرابزون تركيا	شيكاغو الولايات المتحدة الأمريكية	شرم الشيخ مصر
عمان المملكة الأردنية الهاشمية	طوكيو اليابان	طشقند أوزبكستان
فيينا النمسا	فرانكفورت ألمانيا	عن بعد منصة زووم

مدن التدريب

لانكاوي ماليزيا	كيب تاون جنوب افريقيا	كوالالمبور ماليزيا
هاربيا اسبانيا	لندن المملكة المتحدة	لشبونة البرتغال
هونتر سويسرا	مسقط سلطنة عمان	مدريد اسبانيا
نيروبي كينيا	ميونخ المانيا	ميلان ايطاليا
		نيويورك الولايات المتحدة الأمريكية